

PCT
#6**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s):	Hiroo Hongo	Examiner:	Unassigned
Serial No.:	10/523,418	Art Unit:	Unassigned
Int'l Appln. No.:	PCT/JP03/09548	Docket:	18617
Filed:	February 1, 2005	Conf. No.:	1180
Int'l Filing Date:	July 28, 2003		
For:	CATALYST SUPPORT SUBSTRATE, METHOD FOR GROWING CARBON NANOTUBES USING THE SAME AND TRANSISTOR USING CARBON NANOTUBES		
	Dated:	February 7, 2006	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE OF INSUFFICIENT BASIC NATIONAL FEE
REQUIRED AND/OR MISSING COPY OF INTERNATIONAL
APPLICATION UNDER 35 U.S.C. 371 AND 37 C.F.R. 1.495**

Sir:

In response to the Notice of Insufficient Basic National Fee Required and/or Missing Copy of International Application Under 35 U.S.C. 371 and 37 C.F.R. 1.495 dated January 19, 2006, applicant respectfully submits that, contrary to the Notice, all requirements have been met.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 7, 2006

Dated: February 7, 2006


Edward W. Grolz

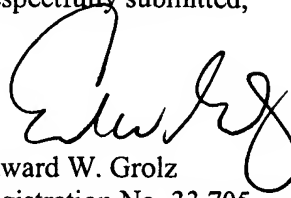
BEST AVAILABLE COPY

More specifically, the Notice indicates that a copy of the International application, which includes a copy of the Request Form, submitted to the International Bureau is missing. The Notice also further indicates that said copy must be provided prior to 30 months from the priority date to avoid abandonment. It is respectfully submitted that in the instant case, a priority date of August 2, 2002 exists. Thus, the 30-month date from said priority is February 2, 2005. Attached hereto is a copy of the transmittal letter accompanying the filing of the subject application via Express Mail dated February 1, 2005. As indicated in item 5.b. of said transmittal letter, a copy of the international application as filed has been communicated by the International Bureau. To support this statement, also attached is a copy of Form PCT/IB/308 received from the International Bureau by the applicant indicating that the US did receive the required copy. Also attached is a copy of the postcard stamped by the United States Patent and Trademark Office acknowledging receipt of the above dated February 1, 2005.

The same documents referred to above also support the fact that the basic national fee regarding this application was paid on filing.

The undersigned spoke with Ms. Barbara Campbell regarding the Notice was informed that all that is required in responding is the submission of a copy of the application as filed, together with a copy of the Request form. We have procured these documents and hereby submit them. We trust that the application is in good order and will now proceed to examination. Should any outstanding questions or requirements exist, it is respectfully requested that the undersigned attorney be contacted.

Respectfully submitted,



Edward W. Grolz
Registration No. 33,705

SCULLY, SCOTT, MURPHY & PRESSER, PC
400 Garden City Plaza
Suite 300
Garden City, New York 11530
(516) 742-4343

EWG/cl



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/523,418	Hiroo Hongo	18617

23389
 SCULLY SCOTT MURPHY & PRESSER, PC
 400 GARDEN CITY PLAZA
 SUITE 300
 GARDEN CITY, NY 11530

INTERNATIONAL APPLICATION NO.	
PCT/JP03/09548	
I.A. FILING DATE	PRIORITY DATE
07/28/2003	08/02/2002

CONFIRMATION NO. 1180
 371 FORMALITIES LETTER



OC000000017861730

Date Mailed: 01/19/2006

NOTICE OF INSUFFICIENT BASIC NATIONAL FEE REQUIRED AND/OR MISSING COPY OF INTERNATIONAL APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

Indication of Small Entity Status has not been received.

The following items must be furnished:

Copy of International Application, which includes a copy of the Request Form, submitted to the International Bureau is missing. A copy of the International Application must be provided prior to 30 months from the priority date to avoid abandonment. Extensions of time under the provisions of 37 CFR 1.136 are not available for compliance with the requirements of 37 CFR 1.495(b). The international application may not be submitted via facsimile transmission.

The basic national fee to enter the national stage in the United States of America under 35 U.S.C. 371 must be paid by 30 months from the priority date (37 CFR 1.495(b)(2)).

If the proper basic national fee is not paid within 30 months from the priority date, the international application will become ABANDONED as to the United States of America, and will not be accepted for national examination.

The amount required shown above reflects the correct fee as of the date of this Notice. If the amount changes prior to payment, applicant must pay the revised amount.

The current basic national fees are listed at 37 CFR 1.492(a). In addition, current PCT related fees are listed in each weekly issue of the Official Gazette of the United States Patent and Trademark Office and the amount of the fees can be obtained from the USPTO's web site www.uspto.gov or by contacting the Public Service Center at (703) 308-4357.

Extensions of time under the provisions of 37 CFR 1.136 are not available for compliance with the requirements of 37 CFR 1.495(b)(2).

For filing the basic national fee, the first class certificate of mailing procedure of 37 CFR 1.8 is NOT available to establish the date of mailing as the date of receipt in the USPTO (see 37 CFR 1.8(a)(2)(i)(F)). The Express Mail procedure of 37 CFR 1.10 may be used.

The basic national fee may not be submitted via facsimile transmission.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/523,418	PCT/JP03/09548	18617

FORM PCT/DO/EO/912 (371 Formalities Notice)

DT07 Rec'd PCT/PTO 0 1 FEB 2005

PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:

1. Transmittal Letter to US DO/EO/US Concerning Filing Under
- 35 USC 371 (PCT Form PTO-1390) in duplicate
2. Specification; Claims; Abstract -67pgs.
3. 21 Sheets of Formal Drawings
4. English Language Translation of International Application
5. Copy of International Search Report
6. Annexes
7. Information Disclosure Statement; PTO-1449; 17 reference copies
8. Check in the amount of \$1000.00 filing fee
9. Certificate of Express Mail No. EV492665288, dated Feb. 1, 2005

Applicant: Hiroo HONGU

Serial No.: Unassigned

Filed: Herewith

For: CATALYST SUPPORT SUBSTRATE, METHOD FOR...

Docket: 18617

Dated: Feb. 1, 2005

EWG/PJE:ahs.

C# 1137
M# 2100
Kudoh

10/523418

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

18617

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/JP03/09548INTERNATIONAL FILING DATE
28 July 2003 (28.07.2003)PRIORITY DATE CLAIMED
2 August 2002 (02.08.2002)

TITLE OF INVENTION

CATALYST SUPPORT SUBSTRATE, METHOD FOR GROWING CARBON NANOTUBES
USING THE SAME, AND TRANSISTOR USING CARBON NANOTUBES

APPLICANT(S) FOR DO/EO/US

Hiroo HONGO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV 492665288US
23. ☒ Other items or information:

Twenty-One (21) Sheets of Drawings

Assignee: NEC Corporation of Tokyo, Japan

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 1.5)		INTERNATIONAL APPLICATION NO. PCT/JP03/09548		ATTORNEY'S DOCKET NUMBER 18617	
24. The following fees are submitted:				Applicant use	Office use
<input checked="" type="checkbox"/> a) Basic national fee \$300.00				\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee \$200.00				\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee \$500.00				\$ 500.00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00				\$ 1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
88 - 100 =	-12 /50 =	0	x \$250.00	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	9 - 20 =	0	x \$50.00	\$ 0.00	
Independent claims	3 - 3 =	0	x \$200.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,000.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 0.00	
SUBTOTAL =				\$ 1,000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 1,000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$ 0.00	
TOTAL FEES ENCLOSED =				\$ 1,000.00	
Amount to be refunded:				\$	
Amount to be charged:				\$	
a. <input checked="" type="checkbox"/> A check in the amount of \$ \$1,000.00 to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is					
c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1013/SSMP . A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Paul J. Esatto, Jr., Reg. No. 30,749					
SCULLY, SCOTT, MURPHY & PRESSER					
400 Garden City Plaza, Suite 300					
Garden City, NY 11530					
(516) 742-4343					
EWG/PJE:ahs					
SIGNATURE				Paul J. Esatto, Jr.	
NAME				30,749	
REGISTRATION NUMBER					

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Hiroo HONGO**

Docket No.

18617Application No.
UnassignedFiling Date
HerewithExaminer
UnassignedCustomer No.
23389Group Art Unit
UnassignedInvention: **CATALYST SUPPORT SUBSTRATE, METHOD FOR GROWING CARBON NANOTUBES
USING THE SAME, AND TRANSISTOR USING CARBON NANOTUBES**

I hereby certify that the following correspondence:

New U.S. Patent Application Under 35 U.S.C. 371*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

February 1, 2005*(Date)***Paul J. Esatto, Jr.***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EV 492665288US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

0	受理官庁記入欄	
0-1	国際出願番号	PCT/JP03/09548
0-2	国際出願日	28.07.03
0-3	(受付印)	PCT International Application 日本国特許庁
0-4	様式-PCT/RO/101 この特許協力条約に基づく国際出願願書は、 右記によって作成された。	PCT-EASY Version 2.92 (updated 01.01.2003)
0-5	申立て 出願人は、この国際出願が特許協力条約に従って処理されることを請求する。	
0-6	出願人によって指定された受理官庁	日本国特許庁 (RO/JP)
0-7	出願人又は代理人の書類記号	03PCFP883
I	発明の名称	触媒担持基板およびそれを用いたカーボンナノチューブの成長方法ならびにカーボンナノチューブを用いたトランジスタ
II	出願人	
II-1	この欄に記載した者は	出願人及び発明者である (applicant and inventor)
II-2	右の指定国についての出願人である。	米国のみ (US only) 全29指定国 (all designated states) ^{RO}
II-4ja	氏名(姓名)	本郷 廣生
II-4en	Name (LAST, First)	HONGO, Hiroo
II-5ja	あて名:	108-8001 日本国 東京都 港区芝五丁目 7番1号 日本電気株式会社内
II-5en	Address:	c/o NEC CORPORATION 7-1, Shiba 5-chome Minato-ku, Tokyo 108-8001 Japan
II-6	国籍 (国名)	日本国 JP
II-7	住所 (国名)	日本国 JP
II-8	電話番号	03-3798-8954
II-9	ファクシミリ番号	03-3798-8447

特許協力条約に基づく国際出願願書

03PCFP 883


原本（出願用） - 印刷日時 2003年07月28日（28.07.2003）月曜日 10時20分08秒

IV-1	代理人又は共通の代表者、通知のあて名 下記の者は国際機関において右記のごとく出願人のために行動する。	代理人 (agent)
IV-1-1ja	氏名(姓名)	工藤 実
IV-1-1en	Name (LAST, First)	KUDOH, Minoru
IV-1-2ja	あて名:	140-0013 日本国 東京都 品川区南大井六丁目 24番10号 カドヤビル6階
IV-1-2en	Address:	KADOYA BLDG., 6F 24-10, Minamiooi 6-chome Shinagawa-ku, Tokyo 140-0013 Japan
IV-1-3	電話番号	03-5471-5218
IV-1-4	ファクシミリ番号	03-5471-6105
IV-1-5	電子メール	kudoh_patent@mta.biglobe.ne.jp
V	国の指定	
V-1	広域特許 (他の種類の保護又は取扱いを求める場合には括弧内に記載する。)	--
V-2	国内特許 (他の種類の保護又は取扱いを求める場合には括弧内に記載する。)	US
V-5	指定の確認の宣言 出願人は、上記の指定に加えて、規則4.9(b)の規定に基づき、特許協力条約のもとで認められる他の全ての国の指定を行う。ただし、V-6欄に示した国の指定を除く。出願人は、これらの追加される指定が確認を条件としていること、並びに優先日から15月が経過する前にその確認がなされない指定は、この期間の経過時に、出願人によって取り下げられたものとみなされることを宣言する。	
V-6	指定の確認から除かれる国	なし (NONE)
VI-1	先の国内出願に基づく優先権主張	
VI-1-1	出願日	2002年08月02日 (02.08.2002)
VI-1-2	出願番号	特願2002-226020
VI-1-3	国名	日本国 JP
VI-2	優先権証明書送付の請求 上記の先の出願のうち、右記の番号のものについては、出願書類の認証謄本を作成し国際事務局へ送付することを、受理官庁に対して請求している。	VI-1
VII-1	特定された国際調査機関 (ISA)	日本国特許庁 (ISA/JP)

特許協力条約に基づく国際出願願書

03PCFP883

原本（出願用） - 印刷日時 2003年07月28日（28.07.2003）月曜日 10時20分08秒

VIII	申立て	申立て数	
VIII-1	発明者の特定に関する申立て	-	
VIII-2	出願し及び特許を与えられる国際出願日における出願人の資格に関する申立て	-	
VIII-3	先の出願の優先権を主張する国際出願日における出願人の資格に関する申立て	-	
VIII-4	発明者である旨の申立て（米国を指定国とする場合）	-	
VIII-5	不利にならない開示又は新規性喪失の例外に関する申立て	-	
IX	照合欄	用紙の枚数	添付された電子データ
IX-1	願書（申立てを含む）	4	-
IX-2	明細書	25	-
IX-3	請求の範囲	7	-
IX-4	要約	1	EZABST00. TXT
IX-5	図面	21	-
IX-7	合計	58	
	添付書類	添付	添付された電子データ
IX-8	手数料計算用紙	✓	-
IX-9	個別の委任状の原本	✓	-
IX-17	PCT-EASYディスク	-	フレキシブルディスク
IX-18	その他	特許印紙を貼付した書面	-
IX-18	その他	振込を証明する書面	-
IX-19	要約書とともに提示する図の番号	Fig. 3A	
IX-20	国際出願の使用言語名:	日本語	
X-1	提出者の記名押印		
X-1-1	氏名(姓名)	工藤 実	

受理官庁記入欄

10-1	国際出願として提出された書類の実際の受理の日	28.07.03
10-2	図面:	
10-2-1	受理された	
10-2-2	不足図面がある	
10-3	国際出願として提出された書類を補完する書類又は図面であってその後期間内に提出されたものの実際の受理の日（訂正日）	
10-4	特許協力条約第11条(2)に基づく必要な補完の期間内の受理の日	
10-5	出願人により特定された国際調査機関	ISA/JP
10-6	調査手数料未払いにつき、国際調査機関に調査用写しを送付していない	

特許協力条約に基づく国際出願願書

03PCFP883

原本（出願用） - 印刷日時 2003年07月28日（28.07.2003）月曜日 10時20分08秒

国際事務局記入欄

11-1	記録原本の受理の日	15 AUG 2003
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PATENT COOPERATION TREATY

WO 00/000000
PCT/JP2003/009548

From the INTERNATIONAL BUREAU

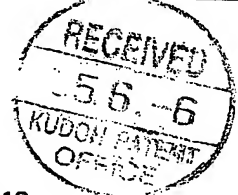
PCT

NOTICE INFORMING THE APPLICANT OF THE
COMMUNICATION OF THE INTERNATIONAL
APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

To:

KUDOH, Minoru
KADOYA BLDG., 6F
24-10, Minamiooi 6-chome
Shinagawa-ku, Tokyo 140-0013
Japan



Date of mailing (day/month/year)
20 May 2005 (20.05.2005)

Applicant's or agent's file reference
03PCFP883

IMPORTANT NOTICE

International application No.
PCT/JP2003/009548

International filing date (day/month/year)
28 July 2003 (28.07.2003)

Priority date (day/month/year)
02 August 2002 (02.08.2002)

Applicant
HONGO, Hiroo

1. Notice is hereby given that the International Bureau has **communicated**, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this notice:
- US**

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:
- None**

The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

4. TIME LIMITS for filing a demand for international preliminary examination and for entry into national phase

The applicable time limit for entering the national phase will, **subject to what is said in the following paragraph** be **30 MONTHS** from the priority date, not only in respect of any elected Office if a demand for international preliminary examination is filed before the expiration of 19 months from the priority date, but also in respect of any designated Office, in the absence of filing of such demand, where Article 22(1) as modified with effect from 1 April 2002 applies in respect of the designated Office.

For further details, see PCT Gazette No.44/2001 of 1 November 2001, pages 19926, 19932 and 19934, as well as the PCT Newsletter, October and November 2001 and February 2002 issues.

In practice, time limits other than the 30-month time limit will continue to apply, for various periods of time, in respect of certain designated or elected Offices. For regular updates on the applicable time limits (20,21,30 or 31 months, or other time limit), Office by Office, refer to the PCT Gazette, the PCT Newsletter and the PCT Applicant's Guide, Volume II, National Chapters, all available from WIPO's Internet site, at <http://www.wipo.int/pct/en/index.html>.

For filing a demand for international preliminary examination, see the PCT Applicant's Guide, Volume I/A, Chapter IX. Only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination (at present, all PCT Contracting States are bound by Chapter II.)

It is the applicant's sole responsibility to monitor all these limits.

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Authorized officer

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